



RECEIPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dora Games, Dale B. Schenk, Lisa C. McConlogue, Peter A. Seubert,
and Russell E. Rydel

Serial No: 09/149,718

Art Unit: 1643

Filed: September 8, 1998

Examiner: not yet assigned

For: *METHOD FOR IDENTIFYING ALZHEIMER'S DISEASE THERAPUETICS USING
TRANSGENIC ANIMAL MODELS*

Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR A CORRECTED FILING RECEIPT

Sir:

Applicants respectfully request issuance of a corrected filing receipt in the above-identified application to correct the residence of applicant Dale Bernard Schenk from "Durlingue, CA" to Burlingame, CA.; and show that this application is (1) a CIP of 08/660,487 filed June 7, 1996, which is a CIP of 08/480,653, filed June 7, 1995, (2) a CIP of 08/659,797 filed June 7, 1996, which is a CIP of 08/486,538, filed June 7, 1995, and (3) a CIP of 08/486,538, filed June 7, 1995. Enclosed are copies of the Request for Filing a Continuation-in-Part Application under 37 C.F.R. § 1.53(b), the Certificate of

RECEIVED

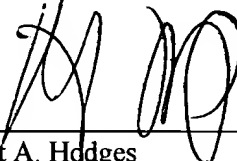
DEC 23 1998

MAIL ROOM
SERVICE CENTER

U.S.S.N.: 09/149,718
Filed: September 8, 1998
REQUEST FOR A CORRECTED FILING RECEIPT

Mailing, and the executed Declaration of Inventorship, along with a copy of the Official Filing Receipt
(with a corrections noted in red) and the returned postcard indicating receipt of the documents mailed
September 8, 1998

Respectfully submitted,

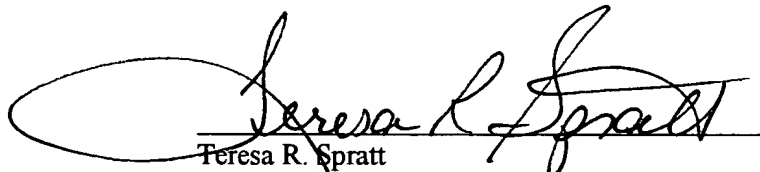


Robert A. Hodges
Reg. No. 41,074

Date: December 4, 1998
ARNALL GOLDEN & GREGORY, LLP
2800 One Atlantic Center
1200 West Peachtree Street
Atlanta, Georgia 30309-3450
404/873-8796
404/873-8797 (fax)

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this Transmittal of Request For a Corrected Filing Receipt, along with any
paper referred to as being attached or enclosed, is being deposited with the United States Postal Service
on the date shown below with sufficient postage as first-class mail in an envelope addressed to the
Assistant Commissioner for Patents, Washington, D.C. 20231.



Teresa R. Spratt

Date: December 4, 1998

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

ANSI 101 CIP(2)
App & PTO
Right

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/149,718	09/08/98	1643	\$1,538.00	ANS-101-CIP(11	54	2

PATREA L PABST
ARNALL GOLDEN & GREGORY
2700 ONE ATLANTIC CENTER
1201 W PEACHTREE STREET
ATLANTA GA 30309-3450

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

[Burlingame]

KATE DORA GAMES, BELMONT, CA; DALE BERNARD SCHENK,
DURLINGUE, CA; LISA CLAIRE MCCONLOGUE, SAN FRANCISCO, CA;
PETER ANDREW SEUBERT, SAN FRANCISCO, CA; RUSSELL E. RYDEL,
BELMONT, CA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

~~THIS APPLN IS A CIP OF 08/480,653 06/07/95~~

FOREIGN FILING LICENSE GRANTED 09/24/98

TITLE

METHOD FOR IDENTIFYING ALZHEIMER'S DISEASE THERAPEUTICS USING
TRANSGENIC ANIMAL MODELS

PRELIMINARY CLASS: 435

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DEC 23 1998

MAIL ROOM CUSTOMER
SERVICE CENTER

THIS APPLN IS A CIP OF 08/660,487, FILED JUNE 7, 1996
WHICH IS A CIP OF 08/480,653, FILED JUNE 7, 1995

THIS APPLN IS A CIP OF 08/659,797, FILED JUNE 7, 1996
WHICH IS A CIP OF 08/486,538, FILED JUNE 7, 1995

THIS APPLN IS A CIP OF 08/486,538, FILED JUNE 7, 1995

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OCT 22 1998

PATENT DEPT.

DOCKETED FOR _____
BY J. STINES-HATCHER _____
DATE 10-22-98

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.11. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.62 which meets the provisions of 37 CFR 5.15(a). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR Parts 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on the reverse side of this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

F

The "Received" stamp of the Patent Office imprinted hereon acknowledges the filing of:

Applicant: Kate Dora Games, et al.

Serial & Docket No. Continuation-in-Part of 08/660/48

Filed: September 8, 1998 ANS 101 CIP(2)

Papers Submitted: Express Mail No.: EM500209605US

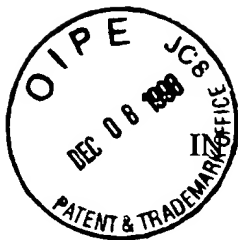
Request for Filing a Continuation-in-Part Application Under 37 CFR §1.53(b) with Certificate of Mailing Under 37 CFR §1.10 (in duplicate) entitled "Method for Identifying Alzheimer's Disease Therapeutics Using Transgenic Animal Models", including 1 page of Abstract, 130 Pages of Specification, 7 Pages of Claims, and 11 Sheets of Informal Drawings, a copy of executed Declaration for Patent Application; a copy of the Assignment to Athena Neurosciences, Inc. from Lisa C. McConlogue; Paper copy of Sequence Listing; Preliminary Amendment with Certificate of Mailing; Associate Power of Attorney Under 37 CFR §1.34; PTO-1082 Fee Sheet (in duplicate); and Check for \$1,538.00.

Date: September 8, 1998

20092/25

By: Patrea L. Pabst, Reg. No. 31,284

DOCKETED FOR
BY JSH
DATE 9-13-98



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kate Dora Games, Dale B. Schenk, Lisa C. McConlogue, Peter A. Seubert
and Russell E. Rydel

Serial No: Continuation-in-Part of
08/660,487

Express Mail Label No.:
EM 500 209 605 US

Filed: September 8, 1998

Date of Deposit:
September 8, 1998

For: *METHOD FOR IDENTIFYING ALZHEIMER'S DISEASE THERAPEUTICS
USING TRANSGENIC ANIMAL MODELS*

BOX PATENT APPLICATION
Assistant Commissioner of Patents
Washington, D.C. 20231

**REQUEST FOR FILING A CONTINUATION-IN-PART
APPLICATION UNDER 37 C.F.R. § 1.53(b)**

Sir:

This is a request for the filing a continuation-in-part application under 37 C.F.R. § 1.53(b), of pending prior application U.S.S.N. 08/660,487, filed June 7, 1996, by Kate Dora Games, Dale B. Schenk, Lisa C. McConlogue, Peter A. Seubert and Russell E. Rydel entitled "Method for Identifying Alzheimer's Disease Therapeutics Using Transgenic Animal Models". This application is also a continuation-in-part of U.S.S.N. 08/486,538, filed June 7, 1995, and a continuation-in-part of U.S.S.N. 08/659,797, filed June 7, 1996, and any pending continuations or divisionals thereof.

Continuation-in-Part of U.S.S.N. 08/660,487
Filed: September 8, 1998
Request for Filing a Continuation-in-Part
Application under 37 C.F.R. § 1.53(b)
EM 500 209 605 US

The application includes 1 page of Abstract, 130 pages of Specification, 7 pages of Claims, and 11 sheets of Informal Drawings, and a copy of the Declaration for Patent Application.

A copy of the assignment to Athena Neurosciences, Inc. as filed in the prior application and recorded at Reel 8115, Frame 0921 on August 19, 1996, is submitted with this request.

The power of attorney in the prior application is to Patrea L. Pabst, Madeline I. Johnston, and Dolly A. Vance. Also enclosed is an Associate Power of Attorney Under 37 C.F.R. §1.34.

The inventorship for the claims in the continuation-in-part application is the same as in the prior applications.

This application contains nucleic acid and/or protein sequences as defined in 37 C.F.R. § 1.821-1.825. The sequence listing for the new application is identical to the sequence listing for application Serial No. 08/660,487, filed June 7, 1996, by Kate Dora Games, Dale B. Schenk, Lisa C. McConlogue, Peter A. Seubert and Russell E. Rydel. A sequence listing in computer readable form was submitted in Application Serial No. 08/660,487 on June 7, 1996, the filing date of the application. No subsequent sequence listing was submitted. Accordingly, pursuant to 37 C.F.R. § 1.821(e), applicant hereby requests that the computer readable form of the sequence listing submitted in application

Continuation-in-Part of U.S.S.N. 08/660,487
Filed: September 8, 1998
Request for Filing a Continuation-in-Part
Application under 37 C.F.R. § 1.53(b)
EM 500 209 605 US

Serial No. 08/660,487 be used as the computer readable form of the sequence listing for the new application. The application has a paper copy of the Sequence Listing incorporated therein.

A check in the amount of \$1,538.00 is enclosed to cover the filing fee for the continuation-in-part application. It is believed that this is the proper filing fee since the continuation-in-part application will include 2 independent claims and a total of 54 claims after entry of the preliminary amendment.

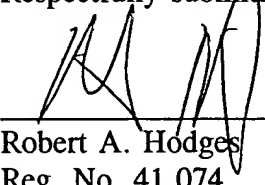
Please preliminarily amend the application in accordance with the attached Preliminary Amendment. The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 01-2507. To facilitate this process, applicant has enclosed a duplicate copy of this Request.

This application is being filed on September 8, 1998, by mailing the application to Box Patent Application, Commissioner for Patents and Trademarks, Washington, D.C. 20231 via the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 C.F.R. § 1.10. The Express Mail Label No. EM 500 209 605 US appears in the

Continuation-in-Part of U.S.S.N. 08/660,487
Filed: September 8, 1998
Request for Filing a Continuation-in-Part
Application under 37 C.F.R. § 1.53(b)
EM 500 209 605 US

heading of this paper which is attached to the application papers pursuant to 37 C.F.R.
§1.10(b).

Respectfully submitted,

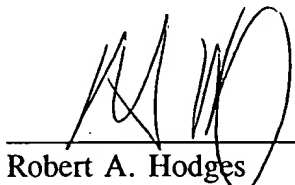


Robert A. Hodges
Reg. No. 41,074

Date: September 8, 1998
ARNALL GOLDEN & GREGORY, LLP
2800 One Atlantic Center
1201 West Peachtree Street
Atlanta, Georgia 30309-3450
(404) 873-8796
(404) 873-8797 (fax)

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10

I hereby certify that this Request for Filing a Continuation-in-Part Application under 37 C.F.R. §1.53(b) and any documents referred to as attached therein are being deposited with the United States Postal Service on this date, September 8, 1998, in an envelope as "Express Mail Post Office to Addressee" service under 37 C.F.R § 1.10, Mailing Label Number EM 500 209 605 US addressed to Box Patent Application, Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.



Robert A. Hodges

Date: September 8, 1998



08.16413

#2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dora Kate Games, Dale B. Schenk, Lisa C. McConlogue, Peter A. Seubert, and Russell E Rydel

Serial No: 09/149,718

Art Unit: 1643

Filed: September 8, 1998

Examiner: Not Yet Assigned

For: *IDENTIFICATION OF GENES*

Assistant Commissioner for Patents
Washington, D.C. 20231

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DEC 1 1998
GROUP 1800

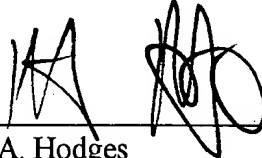
TRANSMITTAL OF
DECLARATION FOR PATENT APPLICATION

Sir:

Enclosed for filing in the above-identified patent application is a Declaration for Patent Application executed by Kate Dora Games, Dale Bernard Schenk, Lisa Claire McConlogue, Peter Andrew Seubert, and Russell E. Rydel.

Applicant has not received a Notice to File Missing Parts. Please credit any overpayment or charge any other fees due in connection with this matter to our Deposit Account No. 01-2507.

Respectfully submitted,



Robert A. Hodges
Reg. No. 41,074

Dated: December 4, 1998
ARNALL GOLDEN & GREGORY, LLP
2800 One Atlantic Center
1201 West Peachtree Street
Atlanta, Georgia 30309-3450
(404) 873-8796
(404) 873-8797

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this Transmittal of Declaration for Patent Application, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.



Teresa R. Spratt

Date: December 4, 1998